



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers

Citation for published version:

Foldager, L, Gaillard, C, Sorensen, M, Larsen, T, Matthews, E, O'Flaherty, R, Carter, F, Crowe, MA, Grelat, C, Salavati, M, Hostens, M, Ingvarsen, KL, Krogh, M & The GplusE consortium 2020, 'Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers', *Preventive Veterinary Medicine*. <https://doi.org/10.1016/j.prevetmed.2020.105006>

Digital Object Identifier (DOI):

[10.1016/j.prevetmed.2020.105006](https://doi.org/10.1016/j.prevetmed.2020.105006)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Preventive Veterinary Medicine

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



Manuscript Details

Manuscript number	PREVET_2019_673
Title	Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers
Article type	Research Paper

Abstract

Blood biomarkers may be used to detect physiological imbalance and potential disease. However, blood sampling is difficult and expensive, and not applicable in commercial settings. Instead, individual milk samples are readily available at low cost, can be sampled easily and analysed instantly. The present study sampled blood and milk from 234 Holstein dairy cows from six experimental herds in different European countries. The objective was to compare the use of three different sets of milk biomarkers for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease. Random forests was used to predict body energy balance (EBAL), index for physiological imbalance (PI-index) and three clusters differentiating the metabolic status of cows created on basis of concentrations of plasma glucose, plasma β -hydroxybutyrate (BHB), plasma non-esterified fatty acids (NEFA) and serum IGF-1. These three metabolic clusters were interpreted as cows in balance, cows in physiological imbalance and "intermediate cows" with a physiological status in between. The three sets of milk biomarkers used for prediction were: milk Fourier transform mid-IR (FT-MIR) spectra, 19 immunoglobulin G (IgG) N-glycans and 8 milk metabolites and enzymes (MME). Blood biomarkers were sampled twice; around 14 days after calving (days in milk (DIM)) and around 35 DIM. MME and FT-MIR were sampled twice weekly 1-50 DIM whereas IgG N-glycan were measured only four times. Performances of random forests predictions for EBAL and PI-index were measured by the coefficient of determination (R^2_{cv}) and the root mean squared error (RMSE_{cv}) from leave-one-cow-out (internal) cross-validation (CV). For metabolic clusters, performance was measured by sensitivity, specificity and global accuracy from this cross-validation. Neither EBAL nor PI-index were sufficiently precise to be used as a management tool for identification of risk cows. The best prediction of PI-index was obtained by MME ($R^2_{CV} = 0.40$ at 14 DIM and 0.35 at 35 DIM) while FT-MIR showed a better performance than MME for prediction of EBAL ($R^2_{CV} = 0.28$ vs 0.21). Global accuracies of predicting metabolic clusters from MME and FT-MIR were at the same level and ranged from 0.54 to 0.65 for MME and 0.51 to 0.68 for FT-MIR. R^2_{CV} and accuracies were lower for IgG N-glycans. In conclusion, MME and FT-MIR can be used to predict the physiological status of the cows, while the use of IgG N-glycans for prediction still needs development.

Keywords	Metabolites; enzymes; FT-MIR; IgG N-glycans; metabolic clusters; random forests
Taxonomy	Animal Lactation, Dairy Cattle, Animal Energetics, Animal Metabolism
Corresponding Author	Leslie Foldager
Corresponding Author's Institution	Aarhus University
Order of Authors	Leslie Foldager, Charlotte Gaillard, Martin Tang Sørensen, Torben Larsen, Elizabeth Matthews, Roisin O'Flaherty, Fiona Carter, Mark Crowe, Clément Grelet, Mazdak Salavati, Miel Hostens, Klaus L. Ingvarlsen, Mogens Krogh
Suggested reviewers	Kasey Moyes, Nicolas C. Friggens, Yuri Montanholi, Stephen LeBlanc, John Roche, Ian Lean, Ian Walsh

Submission Files Included in this PDF

File Name [File Type]

Foldager et al - cover letter.docx [Cover Letter]

Foldager et al - highlights.docx [Highlights]

Foldager et al - main document.docx [Manuscript File]

Foldager et al - Figure 1.tif [Figure]

Foldager et al - Supplementary.docx [Supplementary Material]

To view all the submission files, including those not included in the PDF, click on the manuscript title on your EVISE Homepage, then click 'Download zip file'.

To the Editor

Preventive Veterinary Medicine

Currently, the prediction at a large scale of physiological status of cows is of great interest in order to perform genetic studies and for the management of cows. The use of milk biomarkers seems a good strategy as it is easily accessible and already routinely collected. Enclosed please find our manuscript entitled “Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers” authored by Foldager et al. This manuscript was developed in the frame of the GplusE project granted by the European Union, which sampled blood and milk from 234 Holstein dairy cows from six experimental herds in different European countries. The objective was to compare the use of three different sets of milk biomarkers for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease. Milk biomarkers used are metabolites and enzymes, Fourier transform mid-infrared (FT-MIR) spectra and immunoglobulin G (IgG) *N*-glycans. Based on the same data, two other papers from the GplusE project (De Koster et al., 2019; Grelet et al., 2019) have considered the prediction of metabolic status (balanced/unbalanced) using metabolic clusters based on k-means clustering of four blood biomarkers; glucose, non-esterified fatty acids (NEFA) and β -hydroxybutyrate (BHB) in plasma and insulin-like growth factor-1 (IGF-1) in serum. A third paper from the GplusE project (Krogh et al., accepted 26 Sep 2019) focused on herd variation in the biomarkers. The present paper brings new knowledge by comparing random forest predictions of body energy balance (EBAL), index for physiological imbalance (PI-index) and the metabolic clusters just described. The paper goes deeper in the evaluation of the potential of milk metabolites and enzymes but also investigate the potential of IgG *N*-glycans as biomarker and contributes to the understanding of the clustering approach. The main objective was to compare the use of milk metabolites and enzymes, FT-MIR spectra and IgG *N*-glycans for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease.

We hope you will consider this paper for publication in Preventive Veterinary Medicine.

Yours sincerely,

Leslie Foldager, PhD, MSc
Senior Researcher
Department of Animal Science
Aarhus University, Tjele, Denmark

1 **Highlights**

- 2 • Identifying physiological imbalance/disease risk in dairy cows for herd
3 management
- 4 • Blood biomarkers are relevant indicators but not generally applicable
5 commercially
- 6 • Milk biomarkers can be taken automatically as in Herd Navigator™
- 7 • FT-MIR spectra and milk metabolites and enzymes appeared equally good as
8 biomarkers
- 9 • IgG *N*-glycans suffered from fewer samples and completeness and needs
10 development

Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers

Leslie Foldager^{a,b,*}, Charlotte Gaillard^{a,1}, Martin T. Sorensen^a, Torben Larsen^a, Elizabeth Matthews^c, Roisin O'Flaherty^d, Fiona Carter^c, Mark A. Crowe^c, Clément Grelet^e, Mazdak Salavati^{f,2}, Miel Hostens^g, GplusE Consortium^h, Klaus L. Ingvarlsen^a, Mogens A. Krogh^a

^a *Department of Animal Science, Aarhus University, Blichers Allé 20, DK8830 Tjele, Denmark*

^b *Bioinformatics Research Centre, Aarhus University, C.F. Møllers Allé 8, DK8000 Aarhus, Denmark*

^c *University College Dublin (UCD), Dublin, Ireland*

^d *NIBRT GlycoScience Group, National Institute for Bioprocessing, Research and Training, Mount Merrion, Blackrock, Co., Dublin, Ireland*

^e *Walloon Agricultural Research Center (CRA-W), 5030 Gembloux, Belgium*

^f *Royal Veterinary College, London NW1 0TU, United Kingdom*

^g *Department of Reproduction, Obstetrics and Herd Health, Faculty of Veterinary Medicine, Ghent University, 9820 Merelbeke, Belgium*

^h *GplusE Consortium, <http://www.gpluse.eu>³*

¹ *Present address: PEGASE, INRA Agrocampus Ouest, 35590 Saint-Gilles, France*

² *Present address: Genetics and Genomics Division, The Roslin Institute Easter Bush Campus, Midlothian, EH25 9RG, United Kingdom*

³ *All members of the GplusE Consortium are listed at the web site*

* *Corresponding author:* Leslie Foldager, tel. +45 87157896, e-mail:

leslie@anis.au.dk

Abstract

Blood biomarkers may be used to detect physiological imbalance and potential disease. However, blood sampling is difficult and expensive, and not applicable in commercial settings. Instead, individual milk samples are readily available at low cost, can be sampled easily and analysed instantly. The present study sampled blood and milk from 234 Holstein dairy cows from six experimental herds in different European countries. The objective was to compare the use of three different sets of milk biomarkers for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease. Random forests was used to predict body energy balance (EBAL), index for physiological imbalance (PI-index) and three clusters differentiating the metabolic status of cows created on basis of concentrations of plasma glucose, plasma β -hydroxybutyrate (BHB), plasma non-esterified fatty acids (NEFA) and serum IGF-1. These three metabolic clusters were interpreted as cows in balance, cows in physiological imbalance and “intermediate cows” with a physiological status in between. The three sets of milk biomarkers used for prediction were: milk Fourier transform mid-IR (FT-MIR) spectra, 19 immunoglobulin G (IgG) *N*-glycans and 8 milk metabolites and enzymes (MME). Blood biomarkers were sampled twice; around 14 days after calving (days in milk (DIM)) and around 35 DIM. MME and FT-MIR were sampled twice weekly 1-50 DIM whereas IgG *N*-glycan were measured only four times. Performances of random

forests predictions for EBAL and PI-index were measured by the coefficient of determination (R^2_{cv}) and the root mean squared error ($RMSE_{cv}$) from leave-one-cow-out (internal) cross-validation (CV). For metabolic clusters, performance was measured by sensitivity, specificity and global accuracy from this cross-validation. Neither EBAL nor PI-index were sufficiently precise to be used as a management tool for identification of risk cows. The best prediction of PI-index was obtained by MME ($R^2_{cv} = 0.40$ at 14 DIM and 0.35 at 35 DIM) while FT-MIR showed a better performance than MME for prediction of EBAL ($R^2_{cv} = 0.28$ vs 0.21). Global accuracies of predicting metabolic clusters from MME and FT-MIR were at the same level and ranged from 0.54 to 0.65 for MME and 0.51 to 0.68 for FT-MIR. R^2_{cv} and accuracies were lower for IgG *N*-glycans. In conclusion, MME and FT-MIR can be used to predict the physiological status of the cows, while the use of IgG *N*-glycans for prediction still needs development.

Abbreviations

BHB, β -hydroxybutyrate; CV, cross-validation; DIM, days in milk; EBAL, body energy balance; FT-MIR, Fourier transform mid-IR; IgG, immunoglobulin G; LDH, dehydrogenase; MME, metabolites and enzymes; NAGase, *N*-acetyl- β -D-glucosaminidase; NEFA, non-esterified fatty acids; PI-index, index for physiological imbalance; R^2 , coefficient of determination; RMSE, root mean squared error; VIM, variable importance measures

Keywords

Metabolites; enzymes; FT-MIR; IgG *N*-glycans; metabolic clusters; random forests

Introduction

Diseases at calving and during early lactation account for the majority of health and welfare problems in dairy production (Ingvarlsen et al., 2003). These include production diseases such as fatty liver, ketosis, rumen acidosis and lameness. Most of such diseases in periparturient cows are argued to be the result of physiological imbalance (Ingvarlsen, 2006). Correspondingly, infectious diseases such as mastitis and metritis are included as the immune system is strongly interlinked with physiological imbalance via the endocrine system and metabolites that must accommodate to the demands for lactation facing the transition cow (Ingvarlsen and Moyes, 2015). The consequences of subclinical and clinical diseases are suboptimal animal welfare and production and lower reproductive efficiency. Thus, physiological imbalance leading to these subclinical and clinical diseases should have high priority of being addressed with regard to development of management tools.

Cows in physiological imbalance have increased risk of developing diseases and reduced production (Ingvarlsen et al., 2003; Bjerre-Harpoth et al., 2012). Subclinical stages of diseases can be detected by biomarkers while the cow may appear completely healthy. A number of biomarkers in blood are well described but are currently less well characterized in milk. In the review of Ingvarlsen (2006), it is documented that plasma concentrations of glucose, non-esterified fatty acids (NEFA) and β -hydroxybutyrate (BHB) are relevant indicators to determine subclinical ketosis. LeBlanc et al. (2005) also identified blood NEFA and BHB as relevant indicators of displaced abomasum in dairy cows. Piechotta et al. (2012) reported that concentrations of serum NEFA and plasma IGF-1 prepartum are associated with postpartum diseases, while IGF-1 postpartum was the best predictor of both left

displaced abomasum and risk of culling (Lyons et al., 2014). However, collecting and analysing blood samples for measuring biomarkers is difficult and expensive, and not applicable in commercial settings. Instead, individual milk samples are readily available and milking systems even provide automatic sampling and measurement of e.g. milk conductivity. Such automatic systems can be expanded to measure e.g. milk BHB (e.g. Herd Navigator™, <http://www.herdnavigator.com>).

Enjalbert et al. (2001) showed that subclinical ketosis can be identified by measuring BHB in milk with enzymatic analysis or with Ketolac test strips. Other studies also reported milk BHB to be a relevant indicator of subclinical and clinical ketosis (e.g. Nielsen et al., 2005). Free glucose, glucose-6-phosphate (Larsen and Moyes, 2015), and isocitrate (Larsen, 2014) reflect the nutrient availability and metabolic turnover in the mammary gland that are linked to the blood levels and therefore potentially indicators of physiological imbalance and risk of disease. Larsen et al. (2010) and Kitchen et al. (1978), respectively, reported that the milk enzymes lactate dehydrogenase (LDH) and *N*-acetyl- β -D-glucosaminidase (NAGase) performed equally with somatic cell count and acute phase proteins as inflammatory indicators of mastitis. In addition, Fourier transform mid-IR (FT-MIR) spectra of milk can be calibrated to estimate e.g. milk metabolites, and measures of milk immunoglobulin G (IgG) *N*-glycans may be potential new biomarkers.

Based on the same data as here, two other papers (De Koster et al., 2019; Grelet et al., 2019) have considered the prediction of metabolic status (balanced/unbalanced) using metabolic clusters based on k-means clustering of four blood biomarkers; glucose, NEFA and BHB in plasma and IGF-1 in serum. The present paper

supplements these papers by comparing random forests predictions from three different sets of milk biomarkers; metabolites and enzymes (MME), FT-MIR spectra and IgG *N*-glycans. In addition to metabolic clusters, predictions of body energy balance (EBAL) and index for physiological imbalance (PI-index) (Ingvarlsen, 2006; Moyes et al., 2013a, 2013b) were considered. Grelet et al. (2019) used a different prediction method and only considered FT-MIR, De Koster et al. (2019) only used multiparous cows and both studies only considered prediction of clusters.

The present paper focuses more on MME but also investigate the potential of IgG *N*-glycans as a set of milk biomarkers and contributes to the understanding of the clustering approach. The main objective was to compare the use of MME, FT-MIR and IgG *N*-glycans for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease.

Material and methods

Study design, sampling and analysis of milk as well as blood have been described in De Koster et al. (2019), Grelet et al. (2019) and Krogh et al. (2019). In brief, six experiments were conducted in Northern Ireland (UK), Denmark (DK), Belgium (BE), Italy (IT), Germany (DE) and Ireland (IE). These included a total of 234 Holstein dairy cows (55 first parity, 66 second parity, and 113 in third or higher parity (3+), see Supplementary Table S1). In four experiments, all cows were fed a standard diet typical for the particular country. In the UK and DK experiments, a standard diet and two different experimental diets were used. An overview of the diets is shown in table 1 of Krogh et al. (2019).

Derived measures

The calculation of EBAL was described in De Koster et al. (2019) and Krogh et al. (2019). EBAL was only calculated if both morning and evening yield was available for that day. Afterwards, three days (i.e. +/- 1 days in milk (DIM)) moving averages of EBAL were calculated and used for the analyses. The average live body weights within calendar week was used to smooth large day-to-day variation and measurement errors of scales. Summary statistics of EBAL are shown in supplementary tables of Krogh et al. (2019).

PI-index was calculated as $[\log_{10}(\text{NEFA})] + [\log_{10}(\text{BHB})] - [\text{glucose}]$ (Moyes et al., 2013a), where plasma concentrations of the individual metabolites were standardised to an overall mean of zero and variance of one (as indicated by square brackets). Moyes et al. (2013a) used the natural logarithm (\ln) but since \log_{10} and \ln are proportional, $\ln(y) = \ln(10)\log_{10}(y)$, the standardised values will be exactly equal, i.e. $[\ln(y)] = [\log_{10}(y)]$. Thus, since the manuscripts of Grelet et al. (2019) and De Koster et al. (2019) applied \log_{10} -transformations of NEFA and BHB we decided to continue this approach.

Metabolic clusters

As an alternative phenotype to negative EBAL and PI-index, clusters were created by use of the k-means method of Hartigan and Wong (1979) from standardised measures of plasma glucose, plasma $\log_{10}(\text{BHB})$, plasma $\log_{10}(\text{NEFA})$, and serum $\log_{10}(\text{IGF-1})$. As mentioned in the Introduction, these four blood biomarkers mirror the physiological status of the animal. Three clusters ($k=3$) were constructed for each combination of three parities (1, 2 and 3+ lactations) and two periods in early

lactation (around 14 and 35 DIM) as visualised in Figure 1. Deciding on the number of clusters can be intricate but in the present sample $k=3$ was found to be a fair compromise between size and similarity (in terms of the within cluster sum of squares, results not shown). Based on a graphical interpretation using boxplots of the standardised concentrations of plasma glucose, NEFA and BHB and serum IGF-1 (see Figure 1) three metabolic clusters were defined as representing balanced, intermediate and imbalanced cows.

Criteria to define the imbalanced metabolic cluster are the most important. We defined the metabolic cluster as imbalanced if standardised plasma glucose and serum IGF-1 concentrations were both lower than those of plasma BHB and plasma NEFA, and in addition both median BHB and NEFA were above 0.5 SD (Figure 1). Intermediate and balanced metabolic clusters had less sharp definitions: The intermediate metabolic cluster generally had lower standardised glucose and IGF-1 concentrations than BHB and NEFA, with NEFA and BHB boxes in the ± 0.5 SD area and glucose and IGF-1 around or below -0.5 SD. The balanced metabolic cluster had standardised glucose and IGF-1 concentrations around 0.5 SD and standardised NEFA and BHB concentrations below or equal to those of glucose and IGF-1, or all four approximately equal and around -0.5 SD. The metabolic cluster was also considered balanced if all four boxes were inside the ± 0.5 SD area.

Milk biomarkers

Three different sets of milk biomarkers (MME, FT-MIR spectra and IgG N-glycans) were considered as predictors. Metabolites and enzymes consisted of six milk metabolites (glycose-6-phosphate, free glucose, BHB, isocitrate, urea and uric acid)

and two enzymes (NAGase and LDH). Fourier transform mid-IR spectra from the 6 farms were standardised into a common format. FT-MIR data consisted of absorbance values at 212 wavenumbers selected from a total of 1060 by removal of areas known to be non-reproducible between instruments or non-informative due to the water component in milk (Grelet et al., 2016). Finally, 19 peaks of IgG *N*-glycans were manually identified and integrated. Each peak's percentage of the total area under the 19 peaks was used as the measure for the statistical analyses. Further details on the laboratory analysis are given in De Koster et al. (2019).

Random forests predictions

Each of the three sets of milk biomarkers were used to predict the responses (EBAL, PI-index and metabolic clusters) separately for each parity and period by use of the random forests algorithm (see below), i.e. in total 54 predictions. In addition, each of the six plasma metabolites and serum IGF-1 were predicted. To make a more fair comparison with IgG *N*-glycans, we also made a comparison using only data that were complete across all three sets of milk biomarkers in relation to the two periods; around DIM 14 and DIM 35. Random forests belongs to the field of machine learning and is an ensemble of classification or regression trees (Breiman, 2001) with each tree being a set of decision rules. A short description of the algorithm is given below, whereas we refer to Breiman (2001) for a technical presentation and introduction to random forests. We generally used default settings of the implementation except that we used 2500 trees (instead of the default 500) to stabilise estimates of accuracy.

Random forests algorithm

In summary, for each of a pre-specified number of trees (default: 500) a sample is drawn from the original data by sampling with replacement (bootstrap sample). These samples have the same size as the original data but contain on average approximately two thirds of the individual records, since some are selected more than once and some not at all. Each bootstrap sample is used for training an unpruned tree. At each node of the tree, a set of predictors (default for binary classification: square root number of predictors) are chosen at random as candidates for splitting the data present at the current (parent) node into two chunks. The algorithm then choose the candidate (categorical) or cut-point (continuous) that give the largest reduction of the Gini index (Breiman et al., 1984), i.e. the most homogeneous child nodes. Each tree is grown as large as possible. The random selection of candidate predictors at each node protects from overfitting (Breiman, 2001) and pruning is not necessary. When the random forest of trees have been developed, new records are passed through each tree and majority voting or averaging predicts their classes or values.

Statistical analysis

The statistical analyses were carried out using R version 3.6.1 (R Core Team, 2019). For k-means clustering the *kmeans* function of R was used. Random forests modelling was carried out by use of the *randomForest* package (Liaw and Wiener, 2002). We evaluated performance of random forests predictions for metabolic clusters by a leave-one-cow-out (internal) cross-validation strategy, i.e. in turn preserving data from one cow as test set and using data from the other cows for training of a random forests model. By use of the *confusionMatrix* function of the *caret* package (Kuhn, 2008) we calculated global accuracy (proportion of correctly

classified samples, i.e. the diagonal of the 3 by 3 contingency table of predicted versus true cluster also known as the confusion matrix), sensitivity for each cluster (proportion correctly predicted to that cluster) and specificity (proportion correctly predicted not to be in that cluster). In addition, the precision of predictions for the individual blood biomarkers, EBAL and PI-index was measured by the coefficient of determination of cross-validation (R^2_{cv}) and the root mean squared error ($RMSE_{cv}$).

To explore the ranking of the individual MME biomarkers within parity and period, the variable importance measure (VIM) was calculated (Breiman, 2001) and plotted using *randomForests*. This measure is based on the internal out-of-bag samples, i.e. the third not picked to be included in each bootstrap sample, see Breiman (2001).

Characteristics and differences among metabolic clusters in milk metabolite concentrations, enzyme activities and daily milk yield were examined separately for parity 2 and 3+ at DIM 14 by ANOVA with F-tests. Since most health events and imbalances are expected to happen in the first and middle part of the early lactation period, we only focused on DIM 14 for this part. First parity cows were not given further attention since none of these were classified to the imbalanced cluster at DIM 14 and all were in clusters classified as balanced at DIM 35.

Results

Summary statistics for production, blood biomarkers and MME can be found in tables and supplementary tables of Krogh et al. (2019).

Predictions of EBAL and PI-index by sets of milk biomarkers

The precisions (R^2_{CV} and $RMSE_{CV}$) of predicting measures of EBAL and PI-index by the three sets of milk biomarkers as determined by leave-one-cow-out cross-validation are shown in Table 1. The best precision was obtained when predicting PI-index by MME with an R^2_{CV} of 0.40 at 14 DIM and 0.34 at 35 DIM. For FT-MIR, the corresponding R^2_{CV} was 0.26 and 0.19. For EBAL, however, FT-MIR showed a better performance than MME with an R^2_{CV} of 0.28 vs 0.21. The RMSEs from MME and FT-MIR predictions were respectively 23.7 and 23.4 for EBAL and between 1.62 and 1.96 for PI-index. Predictions by IgG N-glycans had the lowest precisions, with R^2_{CV} ranging between 0.01 and 0.06 and with $RMSE_{CV}$ being 26.3 for EBAL and 2.04 for PI-index.

Predictions of individual blood biomarkers by sets of milk biomarkers

Predictions of individual blood biomarkers are shown in Table 2. The best precisions were obtained with MMEs for plasma urea ($R^2_{CV} = 0.62$ for 14 DIM and 0.59 for 35 DIM) and for plasma BHB ($R^2_{CV} = 0.46$ and 0.40). Interestingly, plasma cholesterol was not predicted that well ($R^2_{CV} = 0.09$ and 0.12) whereas precisions of serum IGF-1 were at the same level as plasma BHB for DIM 35 ($R^2_{CV} = 0.40$) and a bit lower for DIM 14 ($R^2_{CV} = 0.32$). The precisions by IgG N-glycans were always the lowest whereas generally, FT-MIR were at the same level as MME but in some cases much lower.

Metabolic cluster changes

The number of cows in each of the three metabolic clusters at DIM 14 and DIM 35 is reported in Table 3 with indication of changes between the two periods. All the 52

primiparous cows were interpreted balanced at DIM 35. Among the 28 parity 2 cows in the intermediate cluster at DIM 14, 17 (61%) did not shift to a cluster deemed to be more "balanced" at DIM35, staying in an intermediate cluster, while the rest changed to a balanced cluster (N=11). Most of the 23 parity 2 cows in the balanced cluster at DIM 14 stayed in a balanced cluster at DIM 35 (N=21) with only two cows shifting; one to an imbalanced and one to an intermediate cluster at DIM 35. For 15 (4+11) out of 18 (7+11) (83%) parity 2 and 3+ cows in the imbalanced cluster DIM 14, extra attention may be relevant as they were also in an imbalanced cluster DIM 35. Concerning parity 3+ cows in the balanced cluster DIM 14, 31 out of 38 (82%) were still in a balanced cluster at DIM 35 while the rest changed to an imbalanced cluster. Of the 54 parity 3+ cows in the intermediate cluster DIM 14, 39 (72%) changed to a balanced cluster at DIM 35, while the rest changed to an imbalanced cluster.

Prediction of metabolic clusters

Accuracies to predict the clusters from sets of milk biomarkers with random forests models are presented in Table 4 for each combination of parity (1, 2 and 3+) and period (DIM 14 and 35). As in Grelet et al. (2019) and De Koster et al. (2019), including milk yield as a factor in the aim to help distinguishing between classes did not improve the accuracy (results not shown). Global accuracies from MME and FT-MIR were at the same level and ranged from 0.54 to 0.65 for MME and 0.51 to 0.68 for FT-MIR. Accuracies were lower for IgG N-glycans; ranging from 0.32 to 0.53. The sensitivity for prediction of the imbalanced cluster was better with MME than with FT-MIR and IgG N-glycans. Unfortunately, examples of zero sensitivity (none predicted correctly) were seen, likely due to a relatively low number of cows in the imbalanced clusters, see Table 3.

Results from predictions using only data that were complete across all three sets of milk biomarkers in each period are shown in Supplementary Table S2 and are less stable with confidence intervals that are bit wider due to the smaller number of observations. Nevertheless, predictions by IgG *N*-glycans tend to be less unfavourable compared to MME and FT-MIR when judged on this reduced data set, potentially giving a more fair comparison. Global accuracies tended to be lower with the reduced data set and ranged from 0.39 to 0.59 for MME, 0.34 to 0.67 for FT-MIR and 0.19 to 0.57 for IgG *N*-glycans. Using this reduced data set, we also examined the pairwise agreement of predictions among the three sets of milk biomarkers, see Supplementary Table S3. The best agreement with a global accuracy of 0.76 (95% CI: 0.62-0.87) was found between MME and FT-MIR for parity 3+ cows around DIM 14 but it should be noted that for these, none of the cows in the imbalanced cluster were correctly determined by FT-MIR. The lowest agreement was seen between FT-MIR and IgG *N*-glycans for parity 3+ cows around DIM 35 with a global accuracy of 0.27 (0.16-0.41). Generally, the agreements were at the same level among all three sets of milk biomarkers.

To ease comparison with table 6 in Grelet et al. (2019) and figure 5 in De Koster et al. (2019), we calculated the global accuracy for predicting the imbalanced cluster vs intermediate and balanced combined. For MME in parity 3+ this accuracy was 0.97 (0.92-0.99) and 0.82 (0.73-0.89) for DIM 14 and 35, respectively. For FT-MIR the corresponding accuracies were 0.89 (0.81-0.95) and 0.69 (0.59-0.78) and for IgG *N*-glycans 0.92 (0.82-0.97) and 0.53 (0.40-0.66). These accuracies tend to be higher DIM 14 and at the same level or lower DIM 35 than those found in Grelet et al. (2019)

and De Koster et al. (2019). For parity 2, number of cows in the imbalance clusters were quite low (see Table 3) and almost all sensitivity estimates were 0 and specificities at or close to 1 (see Table 4). Thus, parity 2 accuracies are high (e.g. 0.93 (0.83-0.98) for MME at 14 DIM) but driven by specificity.

Differences in milk metabolite contents among metabolic clusters

Considering further the characteristics of parity 2 and 3+ cows at DIM 14, Table 5 presents quartiles for milk yield, metabolites and enzymes for each of the three metabolic clusters. These results indicate that some of the milk metabolites and enzymes were significantly different between the three metabolic clusters. The concentration of free glucose was significantly lower in the imbalanced cluster while, generally, those of BHB and isocitrate were higher. For the parity 2 cows, glucose-6-phosphate, and free glucose concentrations were higher for the balanced cluster than for the imbalanced, while for BHB, isocitrate and NAGase the concentrations or activities were lower or tended ($P = 0.07$) to be lower for the balanced compared to the imbalanced cluster. For parity 3+ cows, glucose-6-phosphate did not differ between the metabolic clusters but otherwise the results were similar to those of second parity cows. For parity 3+ cows, the urea concentration also tended ($P=0.07$) to be higher for the imbalanced cluster compared with the balanced cluster. To explore the ranking of importance within parity and period for the eight milk metabolites and enzymes in the MME set of milk biomarkers, VIM plots are shown in Supplementary Figures S1 to S4. BHB is among the most important for both the 14 and 35 DIM periods whereas isocitrate is important for both parity in the period around DIM 14 but only for the oldest (3+) cows around DIM 35. For second lactation cows around DIM 35, free glucose and LDH are marginally more important than BHB

which ranks third. For the oldest cows (3+) free glucose is more important than isocitrate around DIM 14 whereas around DIM 35, uric acid and urea are also important for the prediction of the metabolic clusters.

Discussion

The objective was to compare the use of three different sets of milk biomarkers for identification of cows in physiological imbalance and thus at risk of developing a metabolic or infectious disease. We defined a metabolic imbalanced cluster of cows based on k-means clustering of four blood biomarkers; glucose, NEFA and BHB in plasma and IGF-1 in serum. Random forests was used to predict individual blood biomarkers, body energy balance (EBAL), index for physiological imbalance (PI-index) and the clusters differentiating the metabolic status of cows. Ideally, the prediction algorithms should be validated using an external data set but this was not possible in the present study. Therefore, internal cross-validation was used to examine performance.

IgG *N*-glycans performed really poor compared to the other two sets of milk biomarkers for predictions of individual blood biomarkers, EBAL, PI-index and metabolic clusters. This may partly be due to a less dense sampling of this milk biomarker. Nevertheless, even when accounting for the difference in sampling density IgG *N*-glycans had lower prediction accuracies than MME, FT-MIR or both. In addition, the analytical procedure is very complicated, expensive and with large problems of getting reliable results. Thus, also in that respect more work is needed to make this milk biomarker useful in herd health management.

The precision of predictions for the individual blood biomarkers, EBAL and PI-index was measured by the coefficient of determination of cross-validation (R^2_{cv}) and by the root mean squared error ($RMSE_{cv}$). These two measures of precision were interpreted with the recommendations from Alexander et al. (2015) in mind that as a rule of thumb the R^2 should be higher than 0.6 and the RMSE within 10% of the outcome's range.

To predict individual blood biomarkers, the best models were obtained by MME with R^2_{cv} of 0.62 and 0.59 for plasma urea at 14 and 35 DIM, respectively. These were the only predictions reaching the 0.6 threshold mentioned above. Moreover, $RMSE_{cv}$ for MME predictions (0.72 and 0.78) were below 10% of the plasma urea range at 8.45 mM (supplementary tables of Krogh et al., 2019). The R^2_{cv} for FT-MIR models were generally lower than for MME and in some cases much lower, e.g. 0.06 (DIM 14) and 0.13 (DIM 35) for plasma urea. Correspondingly, the $RMSE_{cv}$ were higher, e.g. 1.08 and 1.13 for plasma urea at 14 and 35 DIM. Lower performances of the FT-MIR models, compared to Grelet et al. (2019), may possibly be explained by different methodologies. In that study all DIM were combined into one global model, distribution of data were artificially modified and partial least squares regression was used instead of random forests. These differences were one of the reasons for redoing the FT-MIR predictions in the present paper.

For EBAL, FT-MIR showed a better performance than MME with an R^2_{cv} of 0.28 vs 0.21 whereas the opposite was the case when predicting PI-index with R^2_{cv} of 0.26 vs 0.40 at 14 DIM and 0.19 vs 0.34 at 35 DIM. Clearly these are below the 0.6 rule of thumb. The RMSEs from EBAL predictions (23.4 and 26.3) were lower than 10% of

the absolute range, whereas for PI-index only RMSEs from MME predictions (1.62 and 1.71) were around 10% of the absolute range.

Metabolic clusters were created as alternative phenotypes. The global accuracy of predicting the metabolic clusters varied from 0.54 to 0.65 and 0.51 to 0.68 for MME and FT-MIR predictions, respectively. Thus, the performance of MME and FT-MIR was at an equal level. It should be noted that examples of sensitivity at zero and specificity close to one were seen and may have biased the accuracy upwards. There was no improvement of including daily milk yield in the prediction models, as also concluded by Ingvarlsen et al. (2003). It is not milk yield per se that increases the risk of diseases but rather physiological imbalance reflecting difficulties for some animals to adapt to the major physiological changes that occur particularly in the transition cow. Moreover, this is in accordance with results in Grelet et al. (2019) and De Koster et al. (2019) though comparison with these two studies is complicated by differences in examined periods and parities. The present study did notice differences in blood biomarker profiles among parities but more data would be desirable for such differentiation. In this study, work has focused on the first 7 weeks after calving and does not apply to cows at later stages. Since no clusters of primiparous cows were considered imbalanced, it generally seems from the present study that first parity cows do not require extra care and the attention should be on the multiparous cows. Relatively few cows in the imbalance clusters were also observed for parity 2 accompanied by sensitivity estimates at zero and specificities close to one. Thus, neither first nor second parity cows were really informative for the ability to predict the imbalanced cluster.

The purpose of the presented random forests algorithms were to identify cows in physiological imbalance at risk of developing subclinical or more severe stages of diseases. Such cows may need extra attention and potentially altered feeding or other management actions to avoid that the physiological imbalance develop into subclinical or more severe disease states. The required accuracy of detection is obviously lower for this purpose since there is no risk of harm to the animal or of needless use of medicine. The accuracies mentioned in this paper are likely too low for diagnosing diseases that require medical treatment with e.g. antibiotics. Generally, the required accuracy depends on the specific purpose and of e.g. disease prevalence, costs associated with treatment and possible side-effects. The required accuracy could be established by simulation methods. Possibly, a larger data set for training prediction algorithms would improve the accuracies and the results presented here may be used to guide sample size decisions for future studies.

Presently, no sensors are available to measure e.g. free glucose, isocitrate and glucose-6-phosphate, but since FT-MIR algorithms tended to give as accurate predictions as MME, FT-MIR may give the same opportunities to make relevant classification of cows as balanced or in physiological imbalance (see also Grelet et al., 2019 and De Koster et al., 2019). Moreover, it would also be interesting to investigate direct prediction of udder inflammation from FT-MIR as opposed to the use of e.g. LDH and NAGase enzymes that constitute an alternative for somatic cell counts, helping in the detection of subclinical diseases (Kitchen et al., 1978; Larsen et al., 2010; Hovinen et al., 2016).

Conclusion

Neither EBAL nor PI-index were sufficiently precise to be used as a management tool for identification of risk cows. As an alternative, cows were divided into clusters based on measures of glucose, BHB and NEFA in plasma and IGF-1 in serum. These can be interpreted into metabolic clusters and the cluster of imbalanced cows can be predicted equally well by MME and FT-MIR. Nevertheless, accuracies still need to be improved and a larger data set for training the prediction algorithms would probably be needed. Free glucose, isocitrate, glycose-6-phosphate, BHB and NAGase measured in milk were significantly different among the three metabolic clusters (balanced, intermediate and physiological imbalanced). Thus, if MME is the preferred set of milk biomarkers to predict cows in physiological imbalance and at risk of developing a production or infectious disease, the above mentioned metabolites and enzyme should have high priority for inclusion. The use of IgG N-glycans for prediction still needs development.

Author's contribution

LF, CGa, MAK, MTS and KLI made the first draft of the paper. LF, CGr, MS, MH and other partners from GC undertook data handling and data quality control. LF, CGr, MS and MH did the major parts of the statistical analyses including the conception of the idea of using k-means clusters to combine selected blood biomarkers with contribution to the latter from MTS and KLI. LF, CGa, MAK, MTS and KLI collaboratively defined the metabolic interpretation of these clusters. MTS, MAC, KLI and other partners from GC did the conception and designed the study. TL handled storage of milk and blood samples and did lab analyses of milk metabolites, milk enzymes and blood metabolites and assisted during the data quality control of these

biomarkers. CGr and other partners from GC undertook analyses and calibrations of FT-MIR. EM, ROF, FC, MAC and other partners from GC did lab analyses and interpretation of IgG *N*-glycans. All authors critically revised the first draft and approved the final version of the manuscript.

Funding

This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 613689. The views expressed in this publication are the sole responsibility of the authors and do not necessarily reflect the views of the European Commission.

Declaration of interest

There is no direct financial interest of the authors and affiliations in the subject matter discussed in the manuscript. All financial support is identified in the Funding section.

Ethics statement

The experiments were carried out in accordance with the standards recommended by the EU Directive 2010/63/EU for animal experiments.

Software and data repository resources

None of the data were deposited in an official repository.

Acknowledgements

The barn staff is acknowledged for their animal care work, Jens Clausen and Carsten Berthelsen, Aarhus University, for lab work and Martin Bjerring, Aarhus University, for data management. Dr L.J. Spicer, Oklahoma State University, is acknowledged for assistance with the IGF-1 radioimmunoassay and Dr Parlow, the National Hormone & Peptide Program (NHPP), for supplying the anti-hIGF-I, NHPP-NIDDK.

References

- Alexander, D.L.J., Tropsha, A., Winkler, D.A., 2015. Beware of R^2 : Simple, unambiguous assessment of the prediction accuracy of QSAR and QSPR models. J. Chem. Inf. Model. 55, 1316-1322. <https://dx.doi.org/10.1021/acs.jcim.5b00206>
- Bjerre-Harpoth, V., Friggens, N.C., Thorup, V.M., Larsen, T., Damgaard, B.M., Ingvarsten, K.L., Moyes, K.M., 2012. Metabolic and production profiles of dairy cows in response to decreased nutrient density to increase physiological imbalance at different stages of lactation. J. Dairy Sci. 95, 2362-2380. <https://doi.org/10.3168/jds.2011-4419>
- Breiman, L., 2001. Random Forests. Mach. Learn. 45, 5-32. <https://dx.doi.org/10.1023/A:1010933404324>
- Breiman, L., Friedman, J.M., Olshen, R.A., Stone, C.J., 1984. Classification and regression trees. Chapman & Hall/CRC, Boca Raton, FL, USA.
- De Koster, J., Salavati, M., Grelet, C., Crowe, M., Opsomer, G., Foldager, L., GplusE Consortium, Hostens, M., 2019. Prediction of metabolic clusters in early lactation dairy cows using models based on milk biomarkers. J. Dairy Sci. 102, 2631-2644. <https://doi.org/10.3168/jds.2018-15533>
- Enjalbert, F., Nicot, M.C., Baourthe, C., Moncoulon, R., 2001. Ketone bodies in milk and blood of dairy cows: Relationship between concentrations and utilization for detection of subclinical ketosis. J. Dairy Sci. 84, 583-589. [https://doi.org/10.3168/jds.S0022-0302\(01\)74511-0](https://doi.org/10.3168/jds.S0022-0302(01)74511-0)

Grelet, C., Fernández Pierna, J.A., Dardenne, P., Soyeurt, H., Vanlierde, A., Colinet, F.,
 Gengler, N., Baeten, V., Dehareng, F., 2016. Development of Fourier transform mid-
 infrared calibrations to predict acetone, β -hydroxybutyrate and citrate contents in
 bovine milk through a European dairy network. *Journal of Dairy Science* 99, 4816-
 4825. <https://doi.org/10.3168/jds.2015-10477>
 Grelet, C., Vanlierde, A., Hostens, M., Foldager, L., Salavati, M., Ingvarlsen, K.L., Crowe, M.,
 Sorensen, M.T., Froidmont, E., Ferris, C.P., Marchitelli, C., Becker, F., Larsen, T.,
 Carter, F., GplusE Consortium, Dehareng, F., 2019. Potential of milk mid-IR spectra to
 predict metabolic status of cows through blood components and an innovative
 clustering approach. *Animal* 13, 649-658. <https://doi.org/10.1017/S1751731118001751>
 Hartigan, J.A., Wong, M.A., 1979. A K-means clustering algorithm. *J. R. Stat. Soc. Ser. C*
Appl. Stat. 28, 100-108. <https://www.jstor.org/stable/2346830>
 Hovinen, M., Simojoki, H., Poso, R., Suolaniemi, J., Kalmus, P., Suojala, L., Pyorala, S.,
 2016. N-acetyl-beta-D-glucosaminidase activity in cow milk as an indicator of mastitis.
J. Dairy Res. 83, 219-227. <https://doi.org/10.1017/S0022029916000224>
 Ingvarlsen, K.L., 2006. Feeding- and management-related diseases in the transition cow:
 physiological adaptations around calving and strategies to reduce feeding-related
 diseases. *Anim. Feed Sci. Technol.* 126, 175-213.
<https://doi.org/10.1016/j.anifeedsci.2005.08.003>
 Ingvarlsen, K.L., Dewhurst, R.J., Friggens, N.C., 2003. On the relationship between
 lactational performance and health: is it yield or metabolic imbalance that cause
 production diseases in dairy cattle? A position paper. *Livest. Prod. Sci.* 83, 277-308.
[https://doi.org/10.1016/S0301-6226\(03\)00110-6](https://doi.org/10.1016/S0301-6226(03)00110-6)
 Ingvarlsen, K.L., Moyes, K.M., 2015. Factors contributing to immunosuppression in the dairy
 cow during the periparturient period. *Jpn. J. Vet. Res.* 63, supplement 1, S15-S24.
<http://dx.doi.org/10.14943/jjvr.63.suppl.s15>

576 Kitchen, B.J., Middleton, G., Salmon, M., 1978. Bovine milk N-acetyl-b-D-glucosaminidase
 577 and its significance in the detection of abnormal udder secretions. *J. Dairy Res.* 45, 15-
 578 20. <https://doi.org/10.1017/S0022029900016149>
 579 Krogh, M.A., Hostens, M., Salavati, M., Grelet, C., Sorensen, M.T., Wathes, D.C., Ferris,
 580 C.P., Marchitelli, C., Signorelli, F., Napolitano, F., Becker, F., Larsen, T., Matthews, E.,
 581 Carter, F., Vanlierde, A., Opsomer, G., Gengler, N., Dehareng, F., Crowe, M.A.,
 582 Ingvarsen, K.L., Foldager, L., 2019. Between and within-herd variation in blood and
 583 milk biomarkers in Holstein cows in early lactation. *Animal*, accepted 26 Sep 2019.
 584 Kuhn, M., 2008. Building predictive models in R using the caret package. *J. Stat. Softw.* 28,
 585 Issue 5. <http://dx.doi.org/10.18637/jss.v028.i05>
 586 Larsen, T., 2014. Fluorometric determination of free and total isocitrate in bovine milk. *J.*
 587 *Dairy Sci.* 97, 7498-7504. <https://doi.org/10.3168/jds.2014-8018>
 588 Larsen, T., Moyes, K.M., 2015. Are free glucose and glucose-6-phosphate in milk indicators
 589 of specific physiological states in the cow? *Animal* 9, 86-93.
 590 <https://doi.org/10.1017/S1751731114002043>
 591 Larsen, T., Rontved, C.M., Ingvarsen, K.L., Vels, L., Bjerring, M., 2010. Enzyme activity and
 592 acute phase proteins in milk utilized as indicators of acute clinical *E. coli* LPS-induced
 593 mastitis. *Animal* 4, 1672-1679. <https://doi.org/10.1017/S1751731110000947>
 594 LeBlanc, S.J., Leslie, K.E., Duffield, T.F., 2005. Metabolic predictors of displaced abomasum
 595 in dairy cattle. *J. Dairy Sci.* 88, 159-170. [https://doi.org/10.3168/jds.S0022-](https://doi.org/10.3168/jds.S0022-0302(05)72674-6)
 596 [0302\(05\)72674-6](https://doi.org/10.3168/jds.S0022-0302(05)72674-6)
 597 Liaw, A., Wiener, M., 2002. Classification and Regression by randomForest. *R News* 2, 18-
 598 22. https://www.r-project.org/doc/Rnews/Rnews_2002-3.pdf (accessed 18 Oct 2019)
 599 Lyons, N.A., Cooke, J.S., Wilson, S., van Winden, S.C., Gordon, P.J., Wathes, D.C., 2014.
 600 Relationships between metabolite and IGF1 concentrations with fertility and production
 601 outcomes following left abomasal displacement. *Vet. Rec.* 174, 657.
 602 <https://doi.org/10.1136/vr.102119>

Moyes, K.M., Bendixen, E., Codrea, M.C., Ingvarsten, K.L., 2013a. Identification of hepatic biomarkers for physiological imbalance of dairy cows in early and mid lactation using proteomic technology. *J. Dairy Sci.* 96, 3599-3610. <https://doi.org/10.3168/jds.2012-5900>

Moyes, K.M., Larsen, T., Ingvarsten, K.L., 2013b. Generation of an index for physiological imbalance and its use as a predictor of primary disease in dairy cows during early lactation. *J. Dairy Sci.* 96, 2161-2170. <https://doi.org/10.3168/jds.2012-5646>

Nielsen, N.I., Friggens, N.C., Chagunda, M.G.G., Ingvarsten, K.L., 2005. Predicting risk of ketosis in dairy cows using in-line measurements of beta-hydroxybutyrate: a biological model. *J. Dairy Sci.* 88, 2441-2453. [https://doi.org/10.3168/jds.S0022-0302\(05\)72922-2](https://doi.org/10.3168/jds.S0022-0302(05)72922-2)

Piechotta, M., Sander, A.K., Kastelic, J.P., Wilde, R., Heppelmann, M., Rudolphi, B., Schuberth, H.J., Bollwein, H., Kaske, M., 2012. Short communication: Prepartum plasma insulin-like growth factor-I concentrations based on day of insemination are lower in cows developing postpartum diseases. *J. Dairy Sci.* 95, 1367-1370. <https://doi.org/10.3168/jds.2011-4622>

R Core Team, 2019. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. <https://www.R-project.org> (accessed 18 Oct 2019)

Figure captions

Figure 1 Box-and-whiskers plots for graphical interpretation (note that bars are medians) of k-means clusters into metabolic clusters as indicated by colours: balanced cluster (magenta), intermediate cluster (orange) and physiological imbalanced cluster (yellow). Distribution of standardised blood metabolites and IGF-1 in each cluster (1, 2 and 3), at 14 DIM (first row), at 35 DIM (second row), for primiparous Holstein dairy cows (first column), second parity cows and for parity 3+ cows (last column). The horizontal lines indicate ± 0.5 SD.

Tables

Table 1 Precision of random forests predictions of EBAL and PI-index with three sets of milk biomarkers (milk metabolites and enzymes (MME), Fourier transform mid-IR spectra (FT-MIR) and immunoglobulin G (IgG) N-glycans)¹ in Holstein dairy cows in six herds. The performance was measured by the coefficient of determination of leave-one-cow-out cross-validation (R^2_{cv}) and by root mean squared error ($RMSE_{cv}$). Individual milk biomarkers were standardised using all available data before matching. In addition to sets of milk biomarkers, parity (1, 2 and 3+) as a factor and DIM (days in milk) as continuous covariate were included as predictors for EBAL, whereas only parity was added as predictor for PI-index. Number of cows (samples) are after removal of records excluded due to missing values

Response	Period (DIM)	Sets of milk biomarkers	N _{cows} (N _{samples})	R^2_{cv}	$RMSE_{cv}$
EBAL (only using DK, IE and UK herds)	1-50	MME	132 (1608)	0.21	23.7
		FT-MIR	132 (1230)	0.28	23.4
		IgG	122 (328)	0.06	26.3
PI-index	14	MME	216	0.40	1.62
		FT-MIR	201	0.26	1.86
		IgG	133	0.01	2.04
	35	MME	218	0.34	1.71
		FT-MIR	195	0.19	1.93
		IgG	134	0.05	2.04

¹ Milk biomarkers were matched with the EBAL closest in sampling date (+/- 3 days). For FT-MIR this matching strategy was also applied to PI-index for the period noted in the column denoted "Period (DIM)". If no perfect match (same day) was found, we proceeded as follows: Step 1 day backward first (day before milk biomarker sampling date), then 2 days forward (i.e. 1 day after the sampling data), then 3 days back (corresponding to 2 days before sampling), then 4 days forward, 5 days backward and 6 days forward. That is, closest match within 7 days (a week) centred in the milk biomarker's sampling date. For IgG N-glycans, the measure from the period noted was used for these two measurements. Averages of measures of milk metabolites and enzymes within the same week (Monday-Sunday) as blood sampling were used for PI-index.

Table 2 Precision (R^2 and RMSE by leave-one-cow-out cross-validation) of random forests predictions of plasma metabolites and serum IGF-1 with three sets of milk biomarkers (milk metabolites and enzymes (MME), Fourier transform mid-IR spectra (FT-MIR) and immunoglobulin G (IgG) N-glycans) in Holstein dairy cows. Individual milk biomarkers were standardised and the sample matching the blood sample date (+/- 3 days) was used. In addition, parity (1, 2 and 3+) was included as a predictor. Number of cows are after removal of those excluded due to missing values

Blood biomarker	Period (DIM)	Sets of milk biomarkers	N _{cows}	R ² _{cv}	RMSE _{cv}
Plasma fructosamine	14	MME	213	0.12	16.9
		FT-MIR	198	0.11	17.2
		IgG	131	0.03	17.6
	35	MME	214	0.18	16.4
		FT-MIR	191	0.02	18.5
		IgG	132	0.11	17.2
Plasma urea	14	MME	216	0.62	0.72
		FT-MIR	201	0.06	1.08
		IgG	133	0.01	1.07
	35	MME	218	0.59	0.78
		FT-MIR	195	0.13	1.13
		IgG	134	0.01	1.16
Plasma cholesterol	14	MME	216	0.09	0.68
		FT-MIR	201	0.01	0.72
		IgG	133	0.01	0.72
	35	MME	218	0.12	0.98
		FT-MIR	195	0.03	1.02
		IgG	134	0.04	1.02
Plasma log ₁₀ (NEFA)	14	MME	216	0.13	0.25
		FT-MIR	201	0.10	0.26
		IgG	133	<0.01	0.26
	35	MME	218	0.09	0.30
		FT-MIR	195	0.03	0.31
		IgG	134	0.01	0.32
Plasma glucose	14	MME	216	0.29	0.41
		FT-MIR	201	0.23	0.43
		IgG	133	0.11	0.49
	35	MME	218	0.32	0.43
		FT-MIR	195	0.19	0.48
		IgG	134	0.17	0.49
Plasma log ₁₀ (BHB)	14	MME	216	0.46	0.16
		FT-MIR	201	0.27	0.20
		IgG	133	0.04	0.24
	35	MME	218	0.40	0.17
		FT-MIR	195	0.25	0.19
		IgG	134	<0.01	0.22
Serum log ₁₀ (IGF-1)	14	MME	216	0.32	0.27
		FT-MIR	204	0.36	0.26
		IgG	136	0.24	0.29
	35	MME	216	0.40	0.21
		FT-MIR	197	0.35	0.22
		IgG	138	0.14	0.25

Table 3 *Number of Holstein dairy cows per metabolic cluster (balanced, intermediate, imbalanced) at DIM 14 and 35. Furthermore, the last column shows which clusters the DIM 35 cows belonged to at DIM 14*

Cluster and parity	Number of cows		Cluster affiliation at DIM 14 for DIM 35 cows
	DIM 14	DIM 35	
<i>Parity 1</i>			
Balanced	38	52	38 Balanced + 14 Intermediate
Intermediate	14	0	
Imbalanced	0	0	
Parity 2			
Balanced	23	32	21 Balanced + 11 Intermediate
Intermediate	28	21	1 Balanced +17 Intermediate + 3 Imbalanced
Imbalanced	7	5	1 Balanced + 4 Imbalanced
Parity 3+			
Balanced	38	70	31 Balanced + 39 Intermediate
Intermediate	54	0	
Imbalanced	11	33	7 Balanced +15 Intermediate + 11 Imbalanced
Total	213	213	

666 **Table 4** Leave-one-cow-out cross-validation of performance for random forests predictions of metabolic clusters by milk metabolites
667 and enzymes (MME), Fourier transform mid-IR (FT-MIR) spectra and immunoglobulin G (IgG) N-glycans. Clusters based on k-means
668 clustering ($k=3$) of standardised values of plasma glucose, $\log_{10}(\text{BHB})$ and $\log_{10}(\text{NEFA})$ and serum $\log_{10}(\text{IGF-1})$ in Holstein dairy cows

Period and parity	Cluster number ¹	Metabolic cluster ²	Sensitivity			Specificity			Global accuracy ³ (95% CI)		
			MME	FT-MIR	IgG	MME	FT-MIR	IgG	MME	FT-MIR	IgG
Parity 1											
DIM 14	1	Balanced	0.74	0.70	0.38	0.52	0.61	0.48	0.54	0.51	0.32
	2	Balanced	0.14	0.40	0.10	0.89	0.75	0.79	(0.39-0.68)	(0.37-0.65)	(0.17-0.51)
	3	Intermediate	0.60	0.31	0.45	0.84	0.87	0.70			
DIM 35	1	Balanced	0.63	0.25	0.00	0.98	0.90	1.00	0.62	0.68	0.43
	2	Balanced	0.68	0.83	0.69	0.63	0.71	0.21	(0.47-0.75)	(0.53-0.81)	(0.25-0.63)
	3	Balanced	0.53	0.69	0.18	0.73	0.87	0.68			
Parity 2											
DIM 14	1	Imbalanced	0.50	0.00	0.00	0.98	0.98	1.00	0.55	0.59	0.46
	2	Balanced	0.50	0.70	0.42	0.68	0.65	0.70	(0.42-0.68)	(0.45-0.72)	(0.29-0.63)
	3	Intermediate	0.61	0.70	0.61	0.53	0.68	0.29			
DIM 35	1	Imbalanced	0.00	0.00	0.00	0.98	0.96	1.00	0.58	0.55	0.53
	2	Balanced	0.79	0.69	0.71	0.50	0.52	0.53	(0.44-0.70)	(0.40-0.69)	(0.35-0.70)
	3	Intermediate	0.36	0.50	0.44	0.70	0.71	0.60			
Parity 3+											
DIM 14	1	Imbalanced	0.70	0.00	0.00	1.00	0.99	1.00	0.63	0.66	0.51
	2	Intermediate	0.74	0.76	0.74	0.51	0.63	0.17	(0.53-0.73)	(0.56-0.76)	(0.38-0.64)
	3	Balanced	0.46	0.70	0.17	0.78	0.76	0.74			
DIM 35	1	Imbalanced	0.71	0.59	0.10	0.87	0.74	0.73	0.65	0.59	0.44
	2	Balanced	0.71	0.63	0.71	0.68	0.82	0.70	(0.55-0.75)	(0.49-0.70)	(0.31-0.57)
	3	Balanced	0.50	0.56	0.45	0.90	0.83	0.73			

669 ¹ The cluster numbers are arbitrary and cannot be compared among period/parity combinations.

670 ² As interpreted from Figure 1. The metabolic clusters are comparable among period/parity combinations.

671 ³ Proportion of correctly classified observations by the prediction, i.e. the diagonal of the confusion matrix.

Table 5 Characteristics¹ of milk yield, metabolites and enzymes and comparisons among the three metabolic clusters (balanced, intermediate and physiological imbalanced) of Holstein dairy cows at DIM 14 in parity 2 and 3+, respectively. Results of ANOVA F-tests for differences among metabolic clusters are indicated²

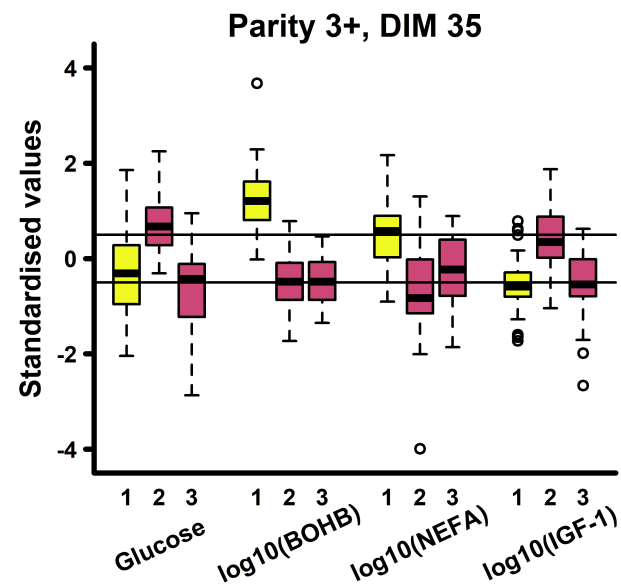
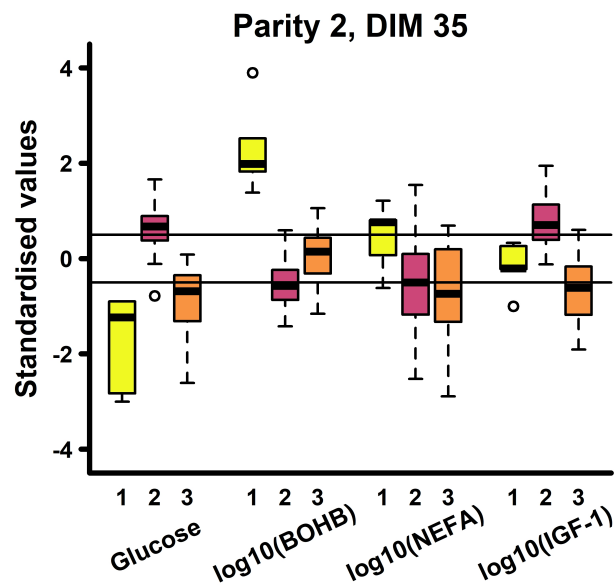
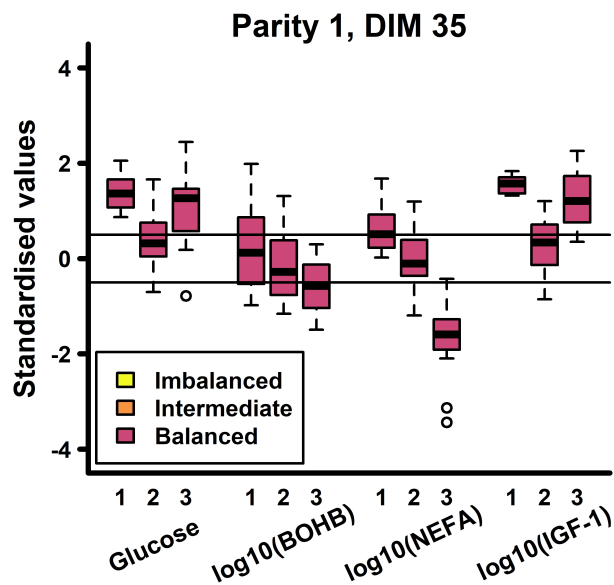
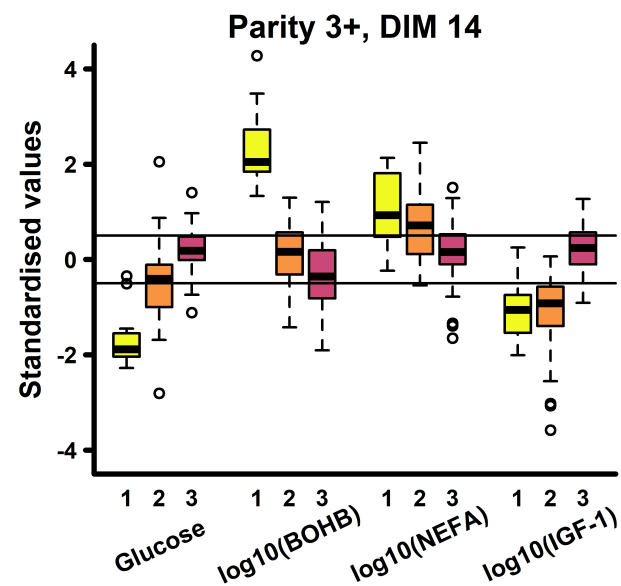
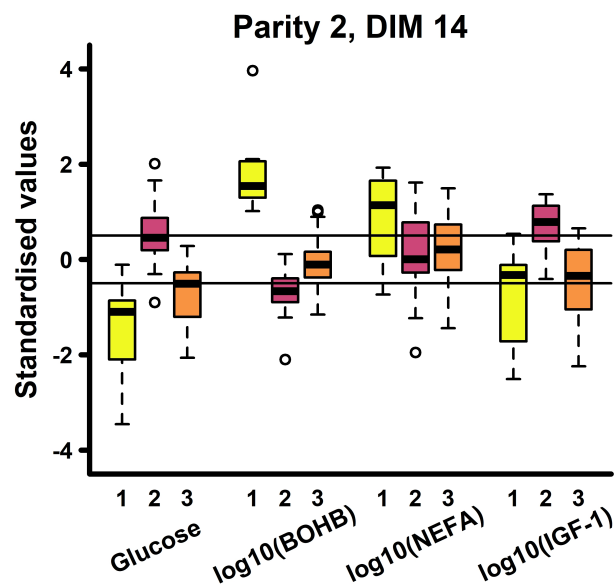
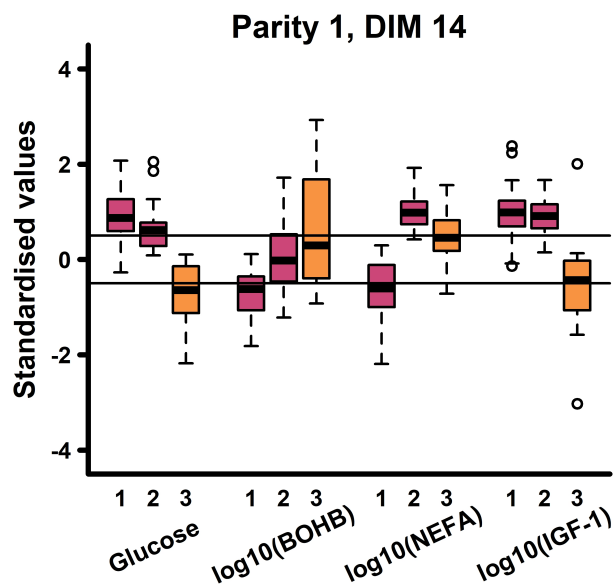
Milk measure and parity	Balanced (n=24) ⁴			Intermediate (n=28)			Imbalanced (n=9) ⁴			
	Q1	Q2	Q3	Q1	Q2	Q3	Q1	Q2	Q3	
Parity 2										
Glucose-6-P (mM)	0.17	0.22	0.28	0.14	0.18	0.20	0.16	0.18	0.23	*
Free glucose (mM)	0.18	0.25	0.28	0.17	0.22	0.26	0.07	0.12	0.15	**
log ₁₀ (BHB) ³	1.56	1.63	1.72	1.66	1.76	1.85	1.98	2.06	2.40	***
Isocitrate (mM)	0.15	0.17	0.19	0.17	0.19	0.20	0.19	0.28	0.29	**
Urea (mM)	2.47	3.15	3.83	2.16	3.18	3.79	2.66	2.82	4.90	ns
Uric acid (μM)	161	176	204	154	164	203	139	173	181	ns
log ₁₀ (NAGase) ³	0.24	0.35	0.46	0.18	0.26	0.41	0.41	0.42	0.46	ns
log ₁₀ (LDH) ³	0.37	0.46	0.63	0.42	0.56	0.68	0.46	0.57	0.72	ns
Milk yield (kg/day)	30.5	32.4	36.8	26.3	31.6	35.9	28.2	30.5	34.4	ns
	Balanced (n=39) ⁴			Intermediate (n=54)			Imbalanced (n=11)			
Parity 3+										
Glucose-6-P (mM)	0.15	0.19	0.24	0.15	0.17	0.22	0.16	0.18	0.20	ns
Free glucose (mM)	0.17	0.21	0.24	0.13	0.16	0.18	0.09	0.10	0.11	***
log ₁₀ BHB ³	1.55	1.66	1.74	1.66	1.74	1.92	2.05	2.12	2.23	***
Isocitrate (mM)	0.14	0.16	0.19	0.15	0.18	0.21	0.22	0.26	0.28	***
Urea (mM)	2.26	3.12	3.63	1.87	2.76	3.57	2.96	3.17	4.62	ns
Uric acid (μM)	126	166	200	114	155	187	144	174	203	ns
log ₁₀ (NAGase) ³	0.17	0.27	0.36	0.24	0.35	0.47	0.48	0.55	0.62	**
log ₁₀ (LDH) ³	0.28	0.41	0.61	0.38	0.48	0.67	0.55	0.64	0.73	ns
Milk yield (kg/day)	34.3	36.4	40.6	32.1	34.6	38.6	29.9	33.0	36.7	ns

¹ Q1: first quartile, Q2: second quartile (median), Q3: third quartile, M: molar (mol/L).

² ns P≥0.05; * P<0.05; ** P<0.01; *** P<0.001

³ BHB (μM), NAGase (units/L), LDH (units/L).

⁴ The difference in totals compared to Table 3 is due to cows only having measures DIM 14.



Predicting physiological imbalance in Holstein dairy cows by three different sets of milk biomarkers

Leslie Foldager^{a,b,*}, Charlotte Gaillard^{a,1}, Martin T. Sorensen^a, Torben Larsen^a, Elizabeth Matthews^c, Roisin O'Flaherty^d, Fiona Carter^c, Mark A. Crowe^c, Clément Grelet^e, Mazdak Salavati^{f,2}, Miel Hostens^g, GplusE Consortium^h, Klaus L. Ingvarlsen^a, Mogens A. Krogh^a

^a *Department of Animal Science, Aarhus University, Blichers Allé 20, DK8830 Tjele, Denmark*

^b *Bioinformatics Research Centre, Aarhus University, C.F. Møllers Allé 8, DK8000 Aarhus, Denmark*

^c *University College Dublin (UCD), Dublin, Ireland*

^d *NIBRT GlycoScience Group, National Institute for Bioprocessing, Research and Training, Mount Merrion, Blackrock, Co., Dublin, Ireland*

^e *Walloon Agricultural Research Center (CRA-W), 5030 Gembloux, Belgium*

^f *Royal Veterinary College, London NW1 0TU, United Kingdom*

^g *Department of Reproduction, Obstetrics and Herd Health, Faculty of Veterinary Medicine, Ghent University, 9820 Merelbeke, Belgium*

^h *GplusE Consortium, <http://www.gpluse.eu>³*

¹ *Present address: PEGASE, INRA Agrocampus Ouest, 35590 Saint-Gilles, France*

² *Present address: Genetics and Genomics Division, The Roslin Institute Easter Bush Campus, Midlothian, EH25 9RG, United Kingdom*

³ *All members of the GplusE Consortium are listed at the web site*

** Corresponding author: Leslie Foldager. E-mail: leslie@anis.au.dk*

Supplementary Table S1. *Number of Holstein dairy cows (row proportion) and summary statistics of parity (mean, SD, median and maximum) for each combination of parity, herd and diet, and pooled*

Herd ¹	Diet ²	Parity			Total	Mean (SD); median; max
		1	2	3+		
UK	Low C	6 (0.30)	4 (0.20)	10 (0.50)	20	2.6 (1.5); 2.5; 7
	Standard C	6 (0.30)	2 (0.10)	12 (0.60)	20	2.9 (1.6); 3; 6
	High C	6 (0.29)	3 (0.14)	12 (0.57)	21	2.8 (1.6); 3; 7
	Pooled	18 (0.30)	9 (0.15)	34 (0.56)	61	2.7 (1.6); 3; 7
DK	High starch	5 (0.45)	2 (0.18)	4 (0.36)	11	2.5 (1.8); 2; 5
	High sugar	4 (0.40)	3 (0.30)	3 (0.30)	10	2.5 (1.8); 2; 6
	Standard	2 (0.14)	9 (0.64)	3 (0.21)	14	2.1 (0.6); 2; 3
	Pooled	11 (0.31)	14 (0.40)	10 (0.29)	35	2.3 (1.4); 2; 6
IE	Standard	2 (0.06)	11 (0.31)	23 (0.64)	36	3.3 (1.5); 3; 7
BE	Standard	13 (0.42)	9 (0.29)	9 (0.29)	31	2.3 (1.6); 2; 6
DE	Standard	3 (0.12)	8 (0.31)	15 (0.58)	26	2.5 (0.7); 3; 3
IT	Standard	8 (0.18)	15 (0.33)	22 (0.49)	45	2.6 (1.2); 2; 6
All	Pooled	55 (0.24)	66 (0.28)	113 (0.48)	234	2.6 (1.4); 2; 7

¹ UK (Agri-Food and Biosciences Institute, Northern Ireland, UK); DK (Aarhus University, Denmark); IE (UCD Lyons Research Farm, University College Dublin, Ireland); BE (Walloon Agricultural Research Centre, Belgium); DE (Leibniz Institute for Farm Animal Biology, Germany) and IT (Consiglio per la Ricerca in Agricoltura, Italy).

² C=concentrate.

Supplementary Table S2 Leave-one-cow-out cross-validation of prediction performance for milk metabolites and enzymes (MME), Fourier transform mid-IR spectra (FT-MIR) and immunoglobulin G (IgG) N-glycans predictions of metabolic clusters based on k-means clustering ($k=3$) of standardised values of plasma glucose, plasma $\log_{10}(\text{BHB})$, plasma $\log_{10}(\text{NEFA})$, and serum $\log_{10}(\text{IGF-1})$ in Holstein dairy cows. Data with the restriction that all three milk biomarkers were successfully measured in the period

Period and parity	Cluster number ¹	Metabolic cluster ²	Sensitivity			Specificity			Global accuracy ³ (95% CI)		
			MME	FT-MIR	IgG	MME	FT-MIR	IgG	MME	FT-MIR	IgG
Parity 1											
DIM 14	1	Balanced	0.62	0.69	0.38	0.39	0.53	0.16			
	2	Balanced	0.00	0.10	0.00	0.82	0.73	0.64	0.39	0.34	0.19
	3	Intermediate	0.44	0.11	0.11	0.82	0.74	0.91	(0.22-0.58)	(0.19-0.53)	(0.07-0.36)
DIM 35	1	Balanced	0.00	0.00	0.00	1.00	0.92	1.00			
	2	Balanced	0.79	0.86	0.57	0.46	0.77	0.31	0.56	0.67	0.41
	3	Balanced	0.40	0.60	0.30	0.71	0.76	0.59	(0.35-0.75)	(0.46-0.83)	(0.22-0.61)
Parity 2											
DIM 14	1	Imbalanced	0.00	0.00	0.00	0.96	0.96	1.00			
	2	Balanced	0.33	0.75	0.42	0.63	0.71	0.76	0.39	0.67	0.48
	3	Intermediate	0.50	0.81	0.69	0.27	0.76	0.29	(0.22-0.58)	(0.48-0.82)	(0.31-0.66)
DIM 35	1	Imbalanced	0.00	0.33	0.00	1.00	0.96	1.00			
	2	Balanced	0.64	0.64	0.45	0.59	0.71	0.82	0.50	0.61	0.57
	3	Intermediate	0.50	0.64	0.79	0.50	0.64	0.36	(0.31-0.69)	(0.41-0.79)	(0.37-0.76)
Parity 3+											
DIM 14	1	Imbalanced	0.00	0.00	0.00	1.00	1.00	1.00			
	2	Intermediate	0.85	0.76	0.70	0.19	0.50	0.32	0.59	0.65	0.53
	3	Balanced	0.24	0.61	0.33	0.86	0.78	0.70	(0.45-0.72)	(0.51-0.78)	(0.39-0.66)
DIM 35	1	Imbalanced	0.50	0.00	0.12	0.79	0.71	0.87			
	2	Balanced	0.47	0.58	0.63	0.66	0.64	0.78	0.56	0.35	0.45
	3	Balanced	0.68	0.42	0.58	0.89	0.67	0.53	(0.41-0.69)	(0.22-0.49)	(0.32-0.59)

¹ The cluster numbers are arbitrary and cannot be compared among period/parity combinations.

² As interpreted from Figure 1. The metabolic clusters are comparable among period/parity combinations.

³ Proportion of correctly classified observations by the prediction, i.e. diagonal of the confusion matrix.

Supplementary Table S3 *Pairwise comparisons of agreement by leave-one-cow-out cross-validation among milk metabolites and enzymes (MME), Fourier transform mid-IR spectra (FT-MIR) and immunoglobulin G (IgG) N-glycans for prediction of metabolic clusters based on k-means clustering (k=3) of standardised values of plasma glucose, plasma \log_{10} (BHB), plasma \log_{10} (NEFA), and serum \log_{10} (IGF-1) in Holstein dairy cows. Data with the restriction that all three milk biomarkers were successfully measured in the period*

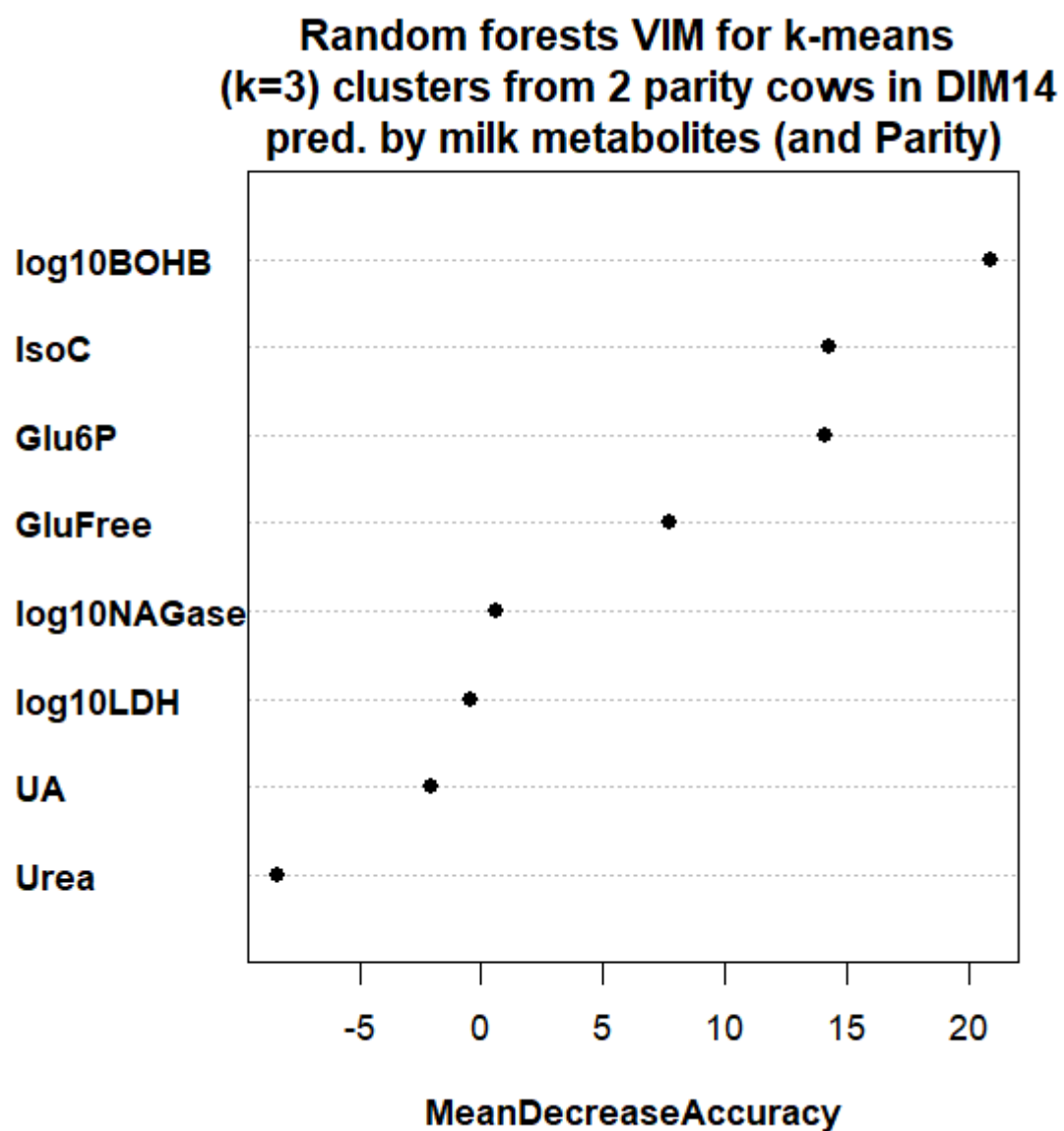
Period and parity	Cluster number ¹	Metabolic cluster ²	Sensitivity			Specificity			Global accuracy ³ (95% CI)		
			MME / FT-MIR	MME / IgG	FT-MIR / IgG	MME / FT-MIR	MME / IgG	FT-MIR / IgG	MME / FT-MIR	MME / IgG	FT-MIR / IgG
Parity 1											
DIM 14	1	Balanced	0.76	0.65	0.52	0.57	0.45	0.36			
	2	Balanced	0.14	0.25	0.13	0.88	0.91	0.75	0.55	0.52	0.38
	3	Intermediate	0.43	0.33	0.00	0.79	0.75	0.76	(0.36-0.73)	(0.33-0.70)	(0.21-0.56)
DIM 35	1	Balanced	0.00	– ⁴	– ⁴	1.00	1.00	0.93			
	2	Balanced	0.73	0.53	0.53	0.42	0.10	0.40	0.56	0.37	0.48
	3	Balanced	0.40	0.10	0.40	0.71	0.53	0.65	(0.35-0.75)	(0.19-0.58)	(0.29-0.68)
Parity 2											
DIM 14	1	Imbalanced	0.00	– ⁴	– ⁴	0.97	0.97	0.97			
	2	Balanced	0.31	0.30	0.50	0.61	0.62	0.57	0.42	0.48	0.52
	3	Intermediate	0.53	0.57	0.52	0.29	0.30	0.50	(0.25-0.61)	(0.30-0.67)	(0.34-0.69)
DIM 35	1	Imbalanced	0.00	– ⁴	– ⁴	1.00	1.00	0.93			
	2	Balanced	0.58	0.63	0.75	0.56	0.55	0.70	0.54	0.57	0.64
	3	Intermediate	0.57	0.55	0.60	0.57	0.63	0.75	(0.34-0.72)	(0.37-0.76)	(0.44-0.81)
Parity 3+											
DIM 14	1	Imbalanced	– ⁴	– ⁴	– ⁴	1.00	1.00	1.00			
	2	Intermediate	0.94	0.81	0.63	0.39	0.12	0.29	0.76	0.59	0.53
	3	Balanced	0.39	0.12	0.29	0.94	0.81	0.63	(0.62-0.87	(0.45-0.72)	(0.39-0.66)
DIM 35	1	Imbalanced	0.27	0.29	0.14	0.70	0.70	0.79			
	2	Balanced	0.29	0.47	0.25	0.53	0.66	0.46	0.30	0.39	0.27
	3	Balanced	0.32	0.36	0.32	0.69	0.73	0.59	(0.18-0.44)	(0.26-0.53)	(0.16-0.41)

¹ The cluster numbers are arbitrary and cannot be compared among period/parity combinations.

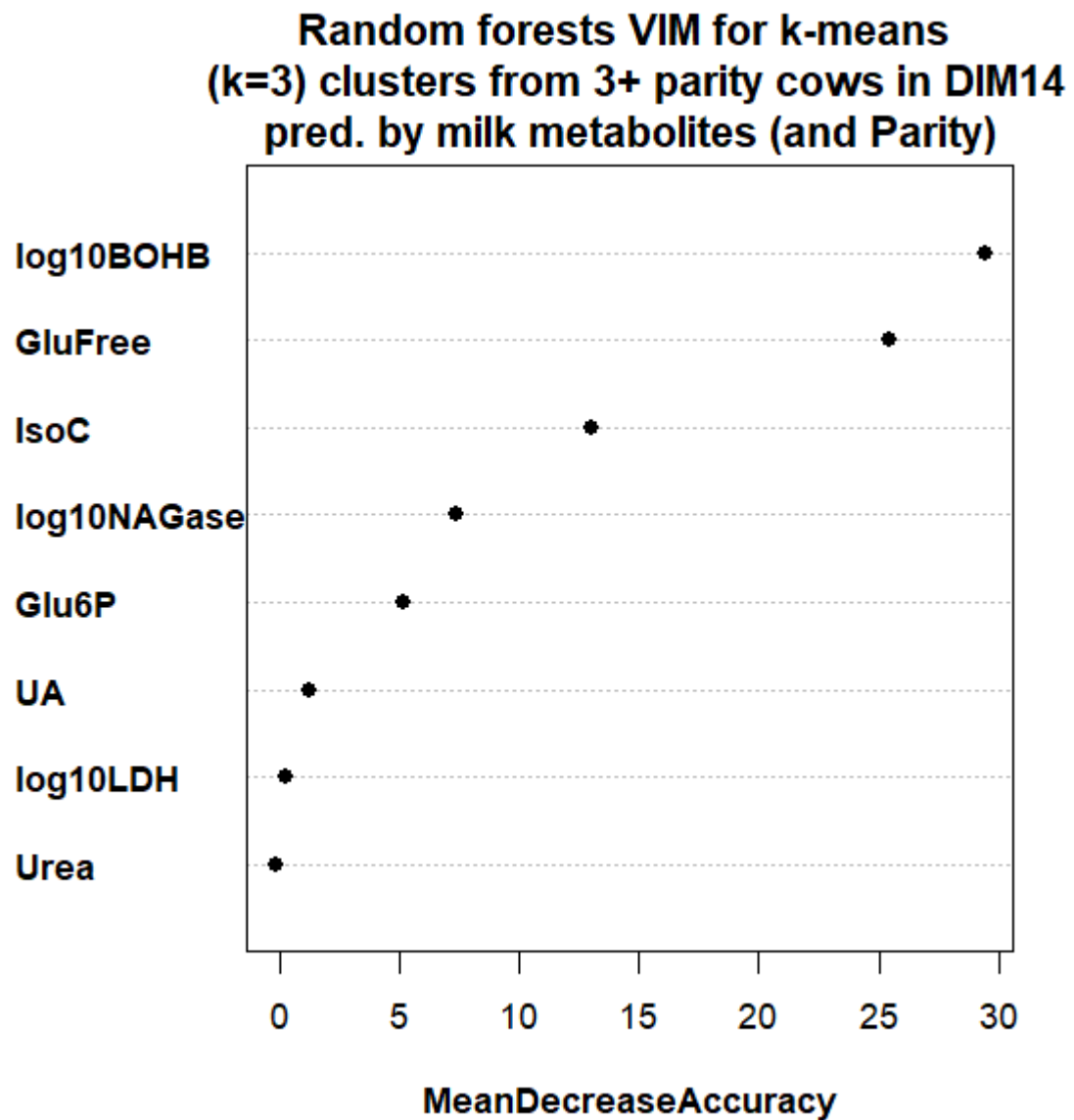
² As interpreted from Figure 1. The metabolic clusters are comparable among period/parity combinations.

³ Proportion of predictions that are the same between methods, i.e. diagonal of the confusion matrix.

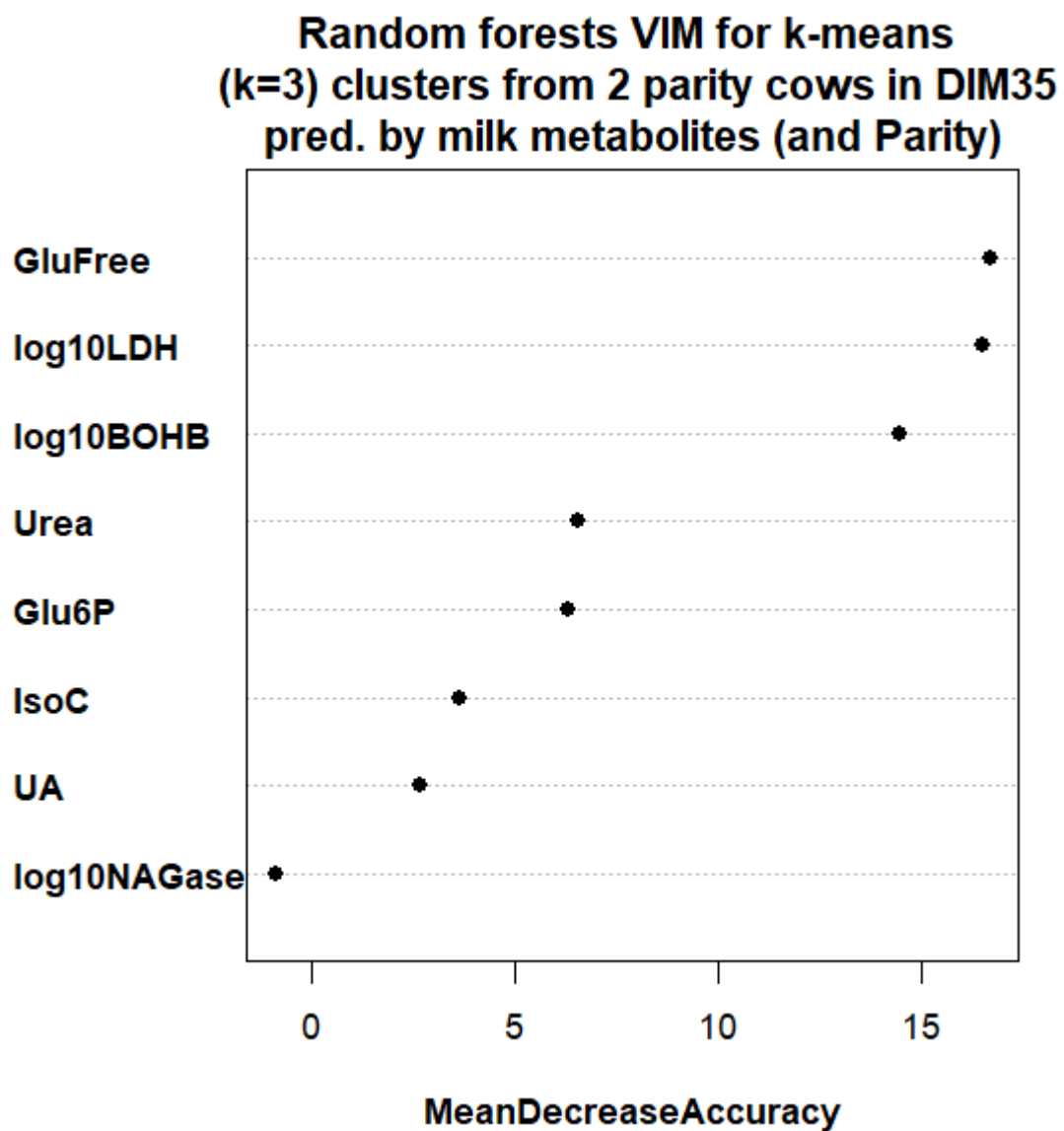
⁴ None predicted in the cluster by the “reference” milk biomarker (last mentioned, e.g. IgG).



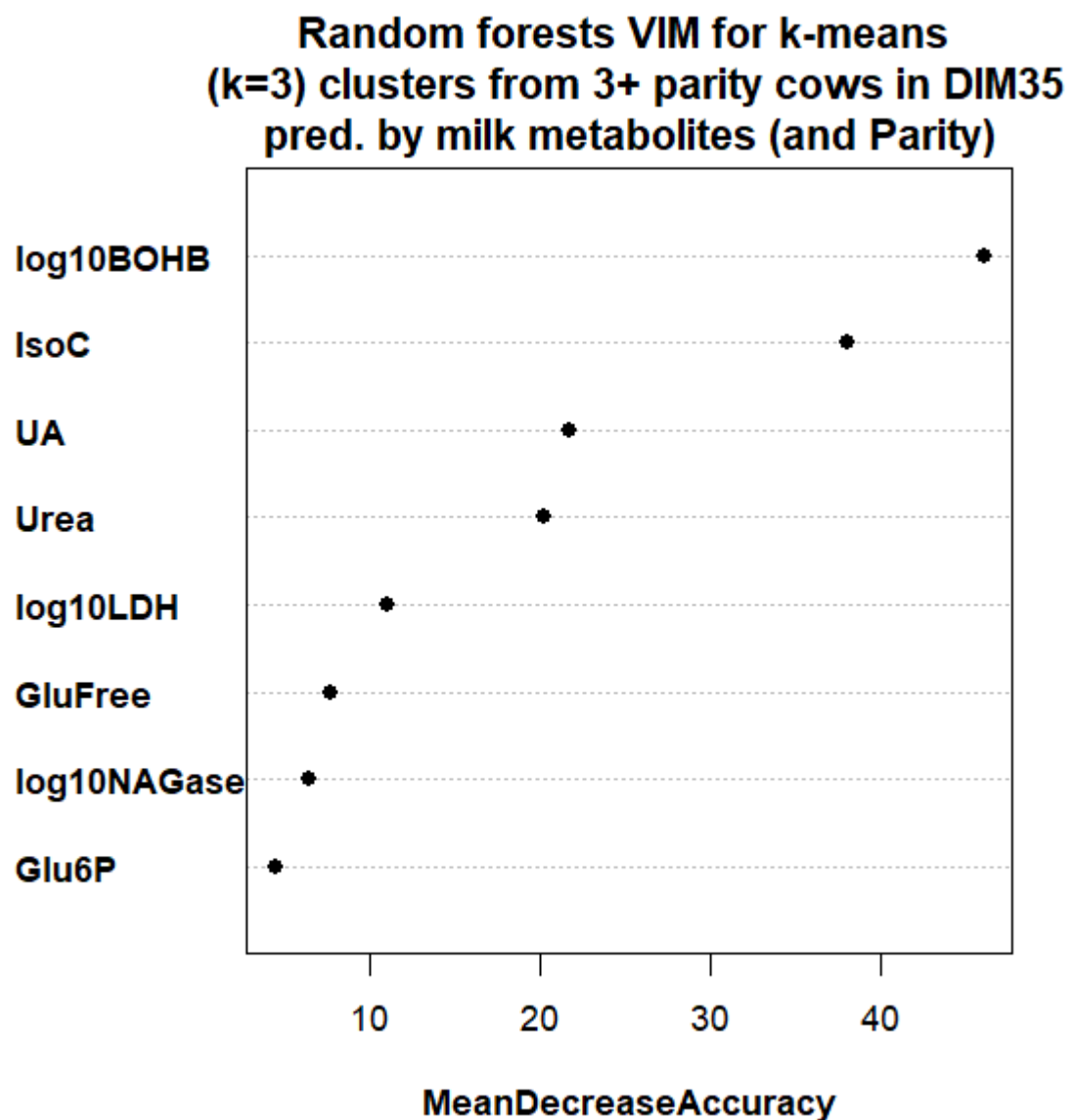
Supplementary Figure S1 *Plot of the variable importance measure (VIM) from the random forests algorithm predicting metabolic clusters by a milk biomarker set of eight milk metabolites and enzymes measured around 14 days after calving (DIM14) in second parity cows.*



Supplementary Figure S2 *Plot of the variable importance measure (VIM) from the random forests algorithm predicting metabolic clusters by a milk biomarker set of eight milk metabolites and enzymes measured around 14 days after calving (DIM14) in cows with three or more lactations (parity 3+).*



Supplementary Figure S3 *Plot of the variable importance measure (VIM) from the random forests algorithm predicting metabolic clusters by a milk biomarker set of eight milk metabolites and enzymes measured around 35 days after calving (DIM35) in second parity cows.*



Supplementary Figure S4 *Plot of the variable importance measure (VIM) from the random forests algorithm predicting metabolic clusters by a milk biomarker set of eight milk metabolites and enzymes measured around 35 days after calving (DIM35) in cows with three or more lactations (parity 3+).*